

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Kenichi KUDO et al.  
Express Mail: EV710256101US  
Filed: February 23, 2006  
For: AN ADDITIVE FOR TABLETS  
Art Unit: Unknown  
Examiner: Unknown

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed, except for (a) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120, and (b) any U.S. patent or U.S. patent application publication if the present application was filed after June 30, 2003 or entered the national stage under 35 USC § 371 after June 30, 2003:

Serial No.: \_\_\_\_\_  
Filing Date: \_\_\_\_\_

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) X A copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report, is being submitted herewith or has previously been submitted.
- (b) \_\_\_\_ Attached is a "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) X Within 3 months of the filing date or date of entry into the National Stage.
- (b) \_\_\_\_ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.
- (c) \_\_\_\_ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).

(d) \_\_\_\_ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

**IAP9 Rec'd PCT/PTO 23 FEB 2006**

(1) \_\_\_\_ The required certification is given below, or

(2) \_\_\_\_ Enclosed is a credit card authorization form covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) \_\_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988

(e) \_\_\_\_ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) \_\_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or

(2) \_\_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

(a) \_\_\_\_ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) \_\_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By   
Mark D. Saralino, Reg. No. 34,243

1621 Euclid Avenue, 19th Floor  
Cleveland, Ohio 44115  
(216) 621-1113

Form PTO-1449 (Modified)  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	Atty Docket No.	Express Mail No.
	YAMAP1004US	EV710256101US
	Applicant: Kenichi KUDO et al.	
	Filing Date	Group
	February 23, 2006	1615 <del>Not Yet Assigned</del>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Name	Class	Sub-class	Filing Date if Appropriate
	2004/0009218 A1 (corresponds to WO 02/06507)	01/2004	Kitamura et al.			
	6,677,142 B1 (corresponds to JP 2002-533132)	01/2004	Weissmüller et al.			
	6,010,717 (corresponds to JP 10-506627)	01/2000	Arends-Scholte et al.			
	5,585,114 (corresponds to JP 7-508533)	12/1996	Besemer et al.			
	5,629,018 (corresponds to JP 7-508532)	05/1997	Besemer et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date (MM/YYYY)	Country	Class	Sub-class	Translation	
						Yes	No
	02/06507 A1	01/2002	WO			Abstract	
	2002-533132	10/2002	JP			Abstract	
	10-506627	06/1998	JP			Abstract	
	10-045596	02/1998	JP			Abstract	
	0 811 374 A1 (corresponds to JP 10-045596)	12/1997	EP				
	7-508533	09/1995	JP			Abstract	
	02/097107	12/2002	WO				

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	International Search Report for corresponding Application No. PCT/JP2004/012080 mailed October 12, 2004.
	De Montalk, G.P. et al., "Amylosucrase from Neisseria Polysaccharea: Novel Catalytic Properties", FEBS Letters, 2000, Vol. 471, No. 2/3, Pages 219-223.

EXAMINER	/Hasan Ahmed/	DATE CONSIDERED	11/20/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /HA/